

Electronic Acknowledgement Receipt

EFS ID:	13077742
Application Number:	09465607
International Application Number:	
Confirmation Number:	9080
Title of Invention:	COMPUTER-IMPLEMENTED SECURITIES TRADING SYSTEM
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Filer Authorized By:	Mark Adam Miller
Attorney Docket Number:	98-HSX001-C1
Receipt Date:	21-JUN-2012
Filing Date:	17-DEC-1999
Time Stamp:	19:29:58
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	98-HSX001-C1_120621_IDS.pdf	42297 dfbcb39886f118d24c240abdf2c6442768e904d3	no	6

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2	Information Disclosure Statement (IDS) Form (SB08)	98-HSX001-C1_120621_1449.pdf	72251	no	7
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3	Non Patent Literature	Eaton_You_Too_Can_Be_Rated_AAA.pdf	112296	no	2
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4	Non Patent Literature	Fairfax_When_You_Wish_Upon_A_Star.pdf	2010196	no	32
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6	Non Patent Literature	F1_Championship.pdf	101579	no	5
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7	Non Patent Literature	Markowitz_NPL.pdf	90154	no	3
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8	Foreign Reference	WO2004031899A2.pdf	1063410	no	26
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9	Foreign Reference	WO2005001728A2.pdf	2442452	no	55
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10	Non Patent Literature	03-6157-C1_101006_Notice_of_Allowance_Fees_Due.pdf	2661932	no	62
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11	Non Patent Literature	05-HSX001-CIP_101123_Final_Office_Action.pdf	695503 a45f301e7509000c5c9cfde642f6f98ada4e1bfb	no	20
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12	Non Patent Literature	05-HSX001-CIP_110606_Notice_Panel_Decision_PreAppeal_Review.pdf	80107 5d68b425c28a889178fbce9b7f33a32f7f17c40e	no	2
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13	Non Patent Literature	98-HSX001-C6_110621_Notice_of_Panel_Decision.pdf	79121 3274bb187e09de20df1d54b25f9a980e48b4d0c8	no	2
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15	Non Patent Literature	98-HSX001-C5_120328_Notice_of_Panel_Decision.pdf	68460 584af77d7108ec195ff4ef80bc9356b941a30fb	no	2
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17	Non Patent Literature	98-HSX001-C5_101213_3mo_Non-Final_Office_Action.pdf	613770 4c423abd91e06d9234fe46d37f409da76d6382eb	no	13
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18	Non Patent Literature	05-HSX001-CIP-WOJP_110104_Office_Action_for_JP2008524053.pdf	224454 811dd6a43301ddb6a6818278f1ac7d2e6f7fc31	no	9
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19	Non Patent Literature	04-6191-C2_110513_Notice_of_Allowance.pdf	502419 c7d5cf08fdb5232a81d67f63ffa403ad4241e7ab	no	10

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20	Non Patent Literature	04-6191-C2_110121_Notice_of_Allowance_Fees_Due.pdf	1852731 5eac1ea52e51ad34efb8f1da96e71b4ffbb5d82e	no	41
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21	Non Patent Literature	04-6191-C2_100920_Notice_of_Allowance_Fees_Due.pdf	397645 9fabcc1cfb2983c401ae0f2c8b1576f6b47a9c694	no	9
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22	Non Patent Literature	04-6197-C1_101227_3mo_Non-Final_Office_Action.pdf	831687 64cf46d82693da8dc195d8495423877c71bbdb85	no	23
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23	Non Patent Literature	04-6197-C1_110803_Final_Rejection.pdf	649139 979c04c252563c0e0ecadbb6d1f71d3db3f57fde3	no	18
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26	Non Patent Literature	04-6191WOAU-1_110428_Examiners_2nd_Report.pdf	128959 7eceaa506726294ef0f2980fa5d6e6e725ac3c8c	no	2
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27	Non Patent Literature	04-6191WOAU-1_110328_Examination_first_Report.pdf	185802 dee64f5a74c638824107c396c025dbee34a9d4f5e	no	2
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28	Non Patent Literature	03-6161_110310_3mo_Non-Final_Office_Action.pdf	2308519 3e8b1096fcb54ee252849d97ee4d410be54f90f3	no	54

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29	Non Patent Literature	03-6161_111117_Final_Rejection.pdf	684156	no	17
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33	Non Patent Literature	03-6164_120109_Non_Final_Rejection_without_1449s.pdf	3310050	no	78
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